

Docket No.: 42390P10350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Youfeng Wu, et al.

Application No.: 10/017,669

Filed: October 30, 2001

For:

METHOD, APPARATUS, AND SYSTEM TO OPTIMIZE FREQUENTLY EXECUTED CODE AND TO USE COMPILER TRANSFORMATION AND HARDWARE SUPPORT TO HANDLE INFREQUENTLY EXECUTED CODE

Examiner: Unassigned

Art Group: 2122

RECEIVED

MAR 1 5 2002

Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

CROSS-REFERENCE UNDER 37 C.F.R. §1.78(a)(2) TO RELATED APPLICATIONS

Sir/Madam:

Pursuant to 37 C.F.R. §1.78(a)(2), applicants hereby cross-reference the following related patent applications, which are all assigned to the same assignee as the present patent application.

- (1) A U.S. Patent Application entitled Method and Apparatus for Performing

 Compiler Transformation of Software Code Using Fastforward Regions Value Specification by

 Youfeng Wu, et al., U.S. Application No. 09/969,962, filed September 29, 2001, attorney docket reference No. 042390.P11903.
- (2) A U.S. Patent Application entitled Method, Apparatus, and System to Formulate Regions of Reusable Instructions by Youfeng Wu., U.S. Patent Application No. 09/965,757, filed September 28, 2001, attorney docket reference No. 042390.P10792.

042390.P10350 App. No. 09/10/017,669 -1-

RST/lrr

Filed: 10/30/01

If there are any charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 07, 2002

RONALD S. TAMURA Reg. No. 43,179

CERTIFICATE OF MAILING

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (714) 557-3800 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 2028 1 op. March 07, 2002.

aura Robles

03/0702 Date

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. 10/017,669 TRANSMITTAL FORM Filing Date October 30, 2001 (to be used for all correspondence after initial filing) $\frac{1}{2}$ Youfeng Wu First Named Inventor Group Art Unit

| | | | Group Art Offic | 212 | MAR 1 5 7002 | | | |
|--|---|--|---|----------|--|--|--|--|
| 4 | | Examiner Name | Un | assigned | | | | |
| Total Number of Pages in This Submission | | 13 | Attorney Docket Number | 423 | 390P1 Schnology Center 2 | | | |
| | ENCLOS | URES (chec | k all that apply) | | | | | |
| Fee Transmittal Form | | Assignment Papers (for an Application) | | | After Allowance Communication to Group | | | |
| Fee Attached | | Drawing(s) | | | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment / Response | | Licensing-related Papers | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| After Fina Affidavits | al s/declaration(s) | Petition | | | Proprietary Information | | | |
| Extension of Time Request | | Petition to Convert a Provisional Application | | | Status Letter | | | |
| Express Abandonment Request | | Power of Attorney, Revocation Change of Correspondence Address | | | Other Enclosure(s) (please identify below): | | | |
| Information Disclosure Statement | | Terminal Disclaimer | | | PTO/SB/08 with seven cited references; Cross Reference | | | |
| Certified Copy of Priority Document(s) | | Request for Refund | | | to Related Applications. | | | |
| Response to Missing Parts/ Incomplete Application | | CD, Number of CD(s) | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | The total number of pages does not include the seven cited references. | | | | | | |
| | SIGNATURE | OF APPLICAN | IT, ATTORNEY, OR A | GENT | • | | | |
| Firm <i>or</i> Individual name | Ronald S. Tamura, Reg. No. 43,179 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | | | | |
| Signature Pokald J. Tamer | | | | | | | | |
| Date | March 7, 2002 | | | | | | | |
| | is correspondence is bei | ng deposited with | ING/TRANSMISSION the United States Postal for Patents, Washington, | | ce as First Class mail with sufficient 20231 on: March 7, 2002 | | | |
| Typed or printed na | ame Laura R. Rob | les 1 1 | <u> </u> | | | | | |
| Signature | 1 | 10/12 | | Date | March 7 2002 | | | |

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PTO/SB/17 (11-01)

Complete if Known

SUBTOTAL (3)

Approved for use through 10/31/2002. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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| TRANSMITTAL | Ţ. | Application Number 10/017,669 | | | | | | |
| for FY 2002 | ľ | Filing Date | | | October 30, 2001 | | | |
| Patent fees are subject to annual revision. | | First Named Inventor | | | Voufeng Wu | Youfeng Wu | | |
| Applicant claims small entity status. See 37 CFR 1.27. | \neg | Examiner Name Unassigned | | | Unassigned MEC | IVE | | |
| | | Group/Art Unit | | | 2122 | | | |
| TOTAL AMOUNT OF PAYMENT (\$) | | Attorney Docket No. | | | 42390P10350 MAR 1 | 5 2002 | | |
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| METHOD OF PAYMENT (check one) | | | | FE | E CALCULATION (contreshnology (| enter 2: | | |
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| Deposit Account | Fee | Fee | Fee | Fee | - | | | |
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| Account Number 02-2666 | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | | |
| Deposit | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | 139 | 130 | 139 | 130 | A D | | | |
| The Commissioner is authorized to: (check all that apply) | 139 | 2,520 | 147 | 2,520 | Non-English specification For filing a request for ex parte reexamination | | | |
| | 112 | · · | 112 | 920 1 | · · · | | | |
| Charge fee(s) indicated below Credit any overpayments | 1 | | | | Examiner action | | | |
| Charge any additional fee(s) during the pendency of the application | 113 | 1,840 * | 113 | 1,840 ° | Requesting publication of SIR after | | | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account | | | l | | Examiner action | | | |
| | 115 | | 215 | 55 | Extension for reply within first month | | | |
| FEE CALCULATION | 116 | | 216 | 200 | Extension for reply within second month | | | |
| 1. BASIC FILING FEE | 117 | | 217 | 460 | Extension for reply within third month | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Fee Pairl | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| Fee Fee Fee Fee Fee Paid Code (5) Code (5) | 128 | - | 228 | 980 | Extension for reply within fifth month | | | |
| 101 740 201 370 Utility filing fee | 1 119 | | 219 | 160 | Notice of Appeal | | | |
| 106 330 206 165 Design filing fee | 120 | | 220 | 160 | Filing a brief in support of an appeal | | | |
| 107 510 207 255 Plant filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| 108 740 208 370 Reissue filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| 114 160 214 80 Provisional filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | | |
| SUBTOTAL (1) (\$) | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | | |
| | 142 | | 242 | 640 | Utility issue fee (or reissue) | | | |
| 2. EXTRA CLAIM FEES Extra Fee from | 143 | | 243 | 230 | Design issue fee | | | |
| Claims below Fee Paid Total Claims | 144 | 620 | 244 | 310 | Plant issue fee | | | |
| Independent 19 - = A | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | | |
| Claims 3 X | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| Multiple Dependent = | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | |
| Large Entity Small Entity | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | 1 | | l | | | | | |
| | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 | 149 | 740 | 249 | 370 | For each additional invention to be | | | |
| 102 64 202 42 independent claims in excess of 3 | | | | | examined (37 CFR § 1.129(b)) | | | |
| 109 84 209 42 **Reissue independent claims over original | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | |
| patent | 169 | 900 | 169 | 900 | Request for expedited examination | | | |
| 110 18 210 9 **Reissue claims in excess of 20 and over | Other | fee (specify) | • | | of a design application | | | |
| original patent | 1 | | | | | | | |

**or number previously paid, if greater, For Reissues, see below SUBMITTED BY Complete (if applicable) Registration No. (Attorney/Agent) (714) 557-3800 Name (Print/Type) Ronald S. Tamura 43,179 Telephone 03/07/02 amus Signature Date

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SUBTOTAL (2)

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